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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Joachim SACHS et al. ) Group Art Unit: not assigned  
Serial No.: not assigned ) Examiner: not assigned  
Filed: herewith )

For: METHOD AND DEVICE FOR IMPROVING THE TRANSMISSION EFFICIENCY  
IN A COMMUNICATION SYSTEM WITH A LAYERED PROTOCOL STACK

Assistant Commissioner of Patents  
BOX PATENT APPLICATION  
Washington, D.C. 20231

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Dear Sir:

**CLAIM OF PRIORITY UNDER 35 U.S.C. § 119**

Under the provisions of 35 U.S.C. 119 Applicant hereby claims the priority of European patent application no. EP 00114344.5 filed on July 4, 2000, which is mentioned in the declaration of the above-identified application. A certified copy of the priority document is filed herewith.

Respectfully submitted,

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Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten europäischen Patentanmeldung überein.

The attached documents are exact copies of the European patent application described on the following page, as originally filed.

Les documents fixés à cette attestation sont conformes à la version initialement déposée de la demande de brevet européen spécifiée à la page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

00114344.5

Der Präsident des Europäischen Patentamts:  
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets  
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**Sheet 2 of the certificate**  
**Page 2 de l'attestation**

Anmeldung Nr.:  
Application no.: **00114344.5**  
Demande n°:

Anmeldetag:  
Date of filing: **04/07/00**  
Date de dépôt:

Anmelder:  
Applicant(s):  
Demandeur(s):  
**TELEFONAKTIEBOLAGET LM ERICSSON (publ)**  
**126 25 Stockholm**  
**SWEDEN**

Bezeichnung der Erfindung:  
Title of the invention:  
Titre de l'invention:  
**Method and device for improving the transmission efficiency in a communication system with a layered protocol stack**

In Anspruch genommene Priorität(en) / Priority(ies) claimed / Priorité(s) revendiquée(s)

Staat: State: Pays:	Tag: Date: Date:	Aktenzeichen: File no. Numéro de dépôt:
---------------------------	------------------------	-----------------------------------------------

Internationale Patentklassifikation:  
International Patent classification:  
Classification internationale des brevets:

/

Am Anmeldetag benannte Vertragstaaten:  
Contracting states designated at date of filing: AT/BE/CH/CY/DE/DK/ES/FI/FR/GB/GR/IE/IT/LI/LU/MC/NL/PT/SE  
Etats contractants désignés lors du dépôt:

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Method and device for improving the transmission efficiency in  
a communication system with a layered protocol stack

5    Technical field of the invention

The present invention relates to a method for improving the transmission efficiency in a communication system with a layered protocol stack, wherein data packets are processed on an upper protocol layer and are forwarded to a lower protocol layer controlling the transmission and wherein transmissions are performed with a channel access delay. Devices and software programs embodying the invention are also described.

15    Background

A communication system is generally specified using a layered approach. A layer either corresponds to a protocol or can be partitioned into two or more sub-layers corresponding to a protocol each. Every protocol has specific responsibilities for the handling of information which is performed by a specific set of functions and services for the protocol. A central idea behind dividing the functionality between protocols is to achieve a more understandable model of the system and hide details in the different protocols to higher layers in the protocol stack.

25

An example of a mobile communication system in which layers are subdivided into sub-layers or protocols is a WCDMA (Wideband Code Division Multiple Access) system according to 3GPP (3<sup>rd</sup> Generation Partnership Project) specifications. In this system, the data link layer is subdivided into the protocols PDCP (Packet Data Convergence Protocol), BMC (Broadcast/Multicast

Control), RLC (Radio Link Control) and MAC (Medium Access Control) as described in 3G TS 25.301 V 3.4.0 (3<sup>rd</sup> Generation Partnership Project).

- Information units denoted as primitives are exchanged between different protocol layers to communicate with each other. The primitives are used in message sequence diagrams to illustrate the functional split between the layers and how the protocols interact with each other when a specific high level function is executed. A description of common interlayer service primitives and the interactions of different protocol layers can be found for the above protocols in 3G TS 25.303 V 3.3.0.

One example of a high level function is transmitting data between applications using a random access channel. To perform this function several protocols are involved. A random access channel (RACH) is a well-known concept for communication systems. Several users can transmit data on a RACH independent of each other which results in a risk of collision between transmissions from or to different users. In order to reduce the probability of collisions and increase the probability of successful transmissions on a RACH certain transmission rules are specified, especially waiting times delaying the transmission of data. One example of a waiting time is called a "back-off time" since the user backs off from transmitting any information on the RACH for an interval of time. Waiting times can vary in their length depending on control parameters which are selected for example according to the number of users that use the RACH, i.e. the system load, or the interference level for a radio channel.

Generally, the protocol controlling the transmissions does not indicate the duration of a channel access delay to other protocols which are thus unaware of how much delay is introduced before the transmission starts on the RACH. In a communication system according to 3GPP specifications, the control of random

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access transmissions is executed in the Medium Access Control (MAC) protocol as described in 3G TS 25.321 V3.3.0.

- The channel access delay introduced before a transmission is started on a channel can vary considerably. This is a problem if the data transmitted on a channel originates from a protocol comprising timers, for example an ARQ (Automatic Repeat Request) protocol that includes retransmission timers. It is difficult to set proper expiry values on such timers due to the large variance of the delay introduced by the protocol layer controlling the transmission. A timer value can for example be set too large according to some worst case delay, resulting in a slower retransmission time than necessary or according to a value which is too small with a risk that the timer expires and an unnecessary retransmission is performed.
- Furthermore, new data will often become available for transmission in the user equipment or a radio base station, e.g. in the RLC protocol, while the protocol controlling transmissions, e.g. MAC, is delaying a transmission of previous data. The additional data is sometimes time critical while the transmission of previous data is less urgent. When new data is ready for transmission on a higher layer, e.g. on the RLC protocol during a RACH procedure, it is not handled by the lower protocol, e.g. MAC, until the transmission procedure for the previous data has ended, i.e. when the data is transmitted on the physical layer. For example if an SMS (Short Message Service) message is sent on the RACH this will delay higher priority data such as a call set-up message until the RACH procedure for the SMS message is finished in the MAC protocol.

**Summary and description of the invention**

It is an object of the present invention to obviate the above disadvantages and provide methods which improve the control of channel access delays and the efficiency of data transmissions in a communication system.

- 5 According to the invention, the method described in claim 1 is performed. An alternative or supplementary embodiment is described in claim 3. Furthermore, the invention is embodied in devices and program units as described in claims 14 and 16. Advantageous embodiments are described in the dependent claims.

- 10 The proposed method is performed in a communication system with a layered protocol stack. Data packets are processed on an upper protocol layer and said processing is performed according to at least one timer of the upper protocol layer. The data packets are forwarded to a lower protocol layer for transmission  
15 on a channel, wherein said transmission is controlled by the lower protocol layer. Additionally, the protocol stack can comprise one or more further layers, e.g. a physical layer below the lower layer, the physical layer executing the transmissions, or a layer executing applications. The transmission is performed with variable channel access delays which are caused for example by the  
20 control procedures or external conditions.

- According to the invention, the start of a transmission is detected by the lower protocol layer. Generally an event, especially the sending of a primitive from the lower protocol layer to a physical layer, initiates the transmission on the  
25 channel. If the period of time between event and transmission is defined, it is often preferable to perform the detection of said event. When the start of the transmission is detected, the upper protocol layer is notified by the lower protocol layer of the starting time. At least one timer of the upper protocol layer is synchronized according to the notification. Especially, the notification can be sent at the start of the transmission and the timers can be started when the  
30

notification is received. If the transmission is started with an offset from a detected event, timers can be corrected by the offset.

The proposed method allows to set timers according to actual transmission times and remove the influence of variable channel access delays. In this way 5 the precision of the timing and the control of the delays is significantly enhanced and the efficiency of transmissions can be considerably improved.

10 The method is especially suitable for a timer which models a round trip time or a back-off time because the performance of the communication system is sensitive to the correct setting of these timers. It is possible that more than one corresponding timer is synchronized in this way.

15 In a further method for improving the transmission efficiency in a communication system with a layered protocol stack, data packets are also processed on an upper protocol layer and are forwarded to a lower protocol layer controlling the transmission on a channel. Transmissions are performed with a channel access delay and the upper or the lower layer performs a scheduling of data packets 20 before the transmission. In the scheduling, data packets are reordered or priorities are attributed to data packets wherein the priorities determine which data packets are transmitted with preference.

25 After the scheduling of the data packets is performed, channel access delays can occur. A channel access delay is detected on the lower layer. If necessary, the upper layer can be notified of the length or end of the channel access delay, e.g. by a corresponding primitive. A check is performed whether additional data 30 packets are ready for forwarding to the lower layer at or before the end of the channel access delay. The check can be performed for example by the upper layer or by the lower layer, especially if the latter performs the scheduling. If the traffic situation has changed, i.e. if additional data packets are ready for

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- forwarding or transmission at or before the end of the channel access delay, a further scheduling including the additional and the first data packets is performed, i.e. the data packets are rescheduled for transmission on the channel. If the lower layer performs the scheduling, the data packets or information about the data packets can be forwarded from the upper layer for this purpose. Finally, the data packets are transmitted according to the rescheduling.
- 5

The proposed method avoids a blocking of time critical traffic by data packets which were scheduled for transmission at an earlier time. A repeated rescheduling is possible, especially in the case of any subsequent channel access delay. Preferably, the rescheduling is performed as close as possible before the transmission of data packets on a channel. The minimum period which is allowable between the start of the rescheduling and the start of the transmission is the processing time necessary for rescheduling and preparing of the data packets for transmission, which is determined by the processing speed of the device performing the transmission.

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In a preferable embodiment of the method, the scheduling is performed on the lower layer. In this case, a notification can be sent from the lower layer to the upper layer requesting a transfer of additional data packets or information about the additional data packets. The upper layer transfers the information or additional data packets to the lower layer in reply to the request. In an alternative embodiment, the lower layer can access a memory which stores corresponding information from the upper layer.

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Alternatively, the scheduling is performed on the upper layer. In this case, a notification of the channel access delay by the lower layer initiates the further scheduling. The notification can include the length or end of the channel access delay.

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Preferably, protocols for an improved control of the delay in a communication system embody both the above methods for synchronizing timers and rescheduling data.

- 5 For the above methods, a notification is preferably sent at the start of a transmission or the end of a delay, especially if the duration of a delay is arbitrary. This allows a simple embodiment of the proposed methods.  
Alternatively, the notification can comprise a length of a delay before a transmission is started if the length is defined when the notification is sent. This allows an increased processing time, e.g. for the scheduling of data packets.
- 10

- A total channel access delay can comprise two or more separate components which are determined by different parameters or conditions, e.g. a random initial delay and an access delay. It is often advantageous to perform a notification between the components, especially if the duration of the second component is arbitrary.
- 15

- When the length of a component of the channel access delay is arbitrary, e.g. determined by a random parameter or external parameters which are not controlled by the communication system, a suitable time for a scheduling or rescheduling is before the arbitrary delay component to ensure sufficient processing time.
- 20

- Preferably, a scheduling process is finished immediately before the scheduled data packets are transmitted, i.e. the delay between the scheduling procedure and the physical transmission is minimized.
- 25

- The notification is preferably a primitive which can also be part of a communication procedure consisting of two or more primitives. Information between different protocols can also be exchanged in other ways, for example using shared memories by two or more protocols.
- 30

The proposed method is especially suitable for a lower protocol layer which is a medium access control sub-layer of a data link layer, e.g. according to 3GPP specifications. A preferable upper protocol layer is a radio link control sub-layer

5 of a data link layer.

The method is preferable if large variations in the channel access delay are possible. This is especially the case if the transmission is performed on a channel which can be shared by several users and/or data flows because 10 transmission attempts may be unsuccessful due to access collisions or because mechanisms to avoid access collisions introduce variable delays. Examples are a Random Access Channel (RACH) or a Common Packet Channel (CPCH) in the up-link from a user equipment to a base station. A CPCH is defined in the 3GPP specifications for data packet transmission on a channel shared by 15 several users. The method is also applicable for down-link channels from a base station to user equipment, e.g. on a FACH (Forward Access Channel) or on a Downlink Shared Channel (DSCH) on which delays can vary due to traffic queuing.

20 According to the invention, a device in a communication system is adapted to perform at least one of the above methods. The device is for example a network node like a radio base station for providing wireless access of user equipment to the communication system or a controller of a base station. The device can also be a user equipment like a mobile phone, a personal digital assistant or a 25 laptop computer.

The proposed method can especially be embodied by a program unit on a data carrier or loadable into a device, e.g. a radio base station or user equipment, in a communication system, the unit comprising code for performing the steps of at 30 least one of the above-described methods.

The foregoing and other objects, features and advantages of the present invention will become more apparent in the following detailed description of preferred embodiments as illustrated in the accompanying drawings.

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#### Brief description of the drawings

- 10 Fig. 1 shows a schematic view of devices in a communication system with different protocol layers.  
Fig. 2 shows the radio interface protocol architecture in a communication system in the state of the art.  
Fig. 3 shows a random access transmission sequence in a communication system in the state of the art.  
15 Fig. 4 shows a random access channel transmission control procedure in the state of the art.  
Fig. 5 shows a schematic random access control procedure according to the invention.  
20 Fig. 6 shows a random access transmission sequence according to the invention.  
Fig. 7 shows a detailed random access channel transmission control procedure according to the invention.

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#### Detailed description of preferred embodiments of the invention

- In figure 1, devices in a mobile communication system are schematically depicted. A mobile communication system comprises radio base stations BS which provide access to user equipment UE by wireless connections WL and a core network interconnecting the base stations BS and providing connections to

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further communication systems. Both user equipment UE and bases stations BS are provided with one or more transmitters and receivers, generally combined into transceivers, to send and receive data on a wireless connection WL. Connections CO of one or several radio base stations BS to the core network are usually established via a controller, e.g. a radio network controller (RNC) which is not shown to simplify the drawing.

On every connection CO, WL, a protocol stack with layers corresponding to each other in the connected devices is defined. As indicated, not all upper layers need to be terminated in adjacent devices as information on said layers can be relayed to further devices. On the wireless connection WL, the protocol stack consists of five layers L1 – L5. The data transmission is performed on the physical layer L1. The transmission is controlled by the data link layer L2. Above the data link layer L2, the communication system in the example comprises a network layer L3 for radio resource control, a transport layer L4 and an application layer L5 for performing applications. Lower layers provide services to higher layers while details how the execution of the services is performed are generally not provided to the higher layers. The services are preferably executed by software programs run in a processing system of the base station and user equipment, respectively. Typically, a different protocol stack PS' is used for the transmission of data over the connection CO to the core network. Generally, information from several radio connections WL is multiplexed on a single connection CO to the core network.

Figure 2 shows an example of subdivided layers in a communication system with a layered protocol structure as described in 3<sup>rd</sup> Generation Partnership Project specification 3G TS 25.301. Embodiments of the invention are described using this system as an example although the invention is not restricted to a WCDMA system and can be applied to any communication system with a layered protocol stack if the system has channel access delays

and timers controlling the transmission or performs a scheduling of data packets.

In figure 2, the data link layer L2 between the physical layer L1 and the network layer L3 with the radio resource control RRC is subdivided and comprises the protocols PDCP, BMC and MAC. Higher layers control the functionality in lower layers as indicated by lines. The functionality of some layers, e.g. PDCP, is only attributed to selected connections. In the protocols PDCP and RLC (radio link control), separate function entities are attributed to different connections. As indicated by the oval shapes, interfaces allow a redistribution of information between connections on the different layers.

The protocol RLC is an ARQ (Automatic Repeat Request) protocol and a further sub-layer of the data link layer L2. Timers are part of the ARQ functionality and are started when RLC packet data units are transferred to the MAC protocol layer for further transfer to the physical layer. Since the delay introduced by MAC between the reception and further transfer of packet data units can vary considerably, it is impossible to set the timers in RLC to optimal values. The delay introduced before transmission on a channel can also depend on external parameters. For example, RACH load control parameters like the persistence value in a communication system according to 3GPP specifications are broadcast by the radio network nodes, e.g. base stations, to adapt delays to the present interference level or to the present load of the communication system and avoid congestion.

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Figure 3 shows service primitives sent between the involved protocols when RLC packet data units are transmitted from a user equipment on the random access channel in a WCDMA system in the state of the art. Details of the depicted primitives, messages and interfaces are described in 3G TS 25.303. The wireless connection between user equipment UE and base station BS is

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performed over the interface 18 Uu while the connection between base station and the controller RNC corresponds to the interface 19 Iub. The part left of the interface Uu in figure 3 describes the handling of data within the user equipment.

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For the initiation of a transmission between the user equipment and a base station, upper layers at first perform a configuration of lower protocol layers by corresponding primitives 20, 21. Then, the primitive 22 "MAC-Data-Req" transfers data packets for transmission from the RLC protocol to the MAC protocol. Furthermore, the time of sending primitive 22 "MAC-Data-Req" is used in the state of the art to start timers within RLC although the transmission on the Uu interface 18 is not started until a message 30 "RACH Data". Before message 30, an initial back-off time 24 elapses. A further delay 25 is due to the channel access attempts that are performed repeatedly with increasing power until a successful reception of a transmission header is confirmed by the radio base station BS with an acknowledgement 28. Still further delays may be caused by one or more subsequent back-off times 26 with corresponding access delays 27 if the first access attempt was unsuccessful, e.g. rejected by a negative acknowledgement 29. As a result, typical total channel access delays between the primitive "MAC-Data-Req" 22 and the transmission of the packet data units on the RACH from the user equipment to the radio base station with the message 30 "RACH Data" can vary between 10ms and 1.5s with even higher values possible in congested situations.

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Figure 4 shows the corresponding flow chart for the transmission control procedure in the MAC protocol as described in detail in 3G TS 25.321. After configuration 40, checks 41 are performed by the MAC protocol whether data packets are ready for transmission. If this is the case, a scheduling according to the priority of the data packets is performed in step 42. Furthermore, a variable access back-off delay is enabled by assigning a constant  $P_i$  to data packets or

groups of data packets i in step 43. A loop 44 is entered which delays the channel access for a random time to avoid access collisions. To accomplish a statistical distribution of accesses, a random number is calculated and compared to the constant  $P_i$  attributed to a data packet or group of data

- 5 packets. Loop 44 which corresponds to the initial back-off time is ended when the random number is smaller than or equal to constant  $P_i$ ; while else a further random draw is performed after a waiting period. By transmitting a message to initiate an update 45 to change allowed ranges for the constant  $P_i$ , the network is able to control the load on the random access channel.

- 10 After the initial back-off delay, access attempts are performed as described with respect to figure 3. In case of a successful attempt, the data is forwarded to the physical layer for transmission to the base station in step 50. Else loop 44 is again entered after a waiting time 46, 48. Different lengths of the waiting time  
15 46, 48 can be specified if the user equipment can distinguish different reasons for an unsuccessful access. A counter M ensures an error handling if a specified number of access attempts was unsuccessful.

- 20 The proposed methods comprise two basic parts which are both depicted in figure 5. In a first part, different protocol layers within a user equipment are synchronized so that timers are not started too early or late when a transmission of data is delayed. The start of the timers is synchronized with the actual transmission of data on a channel, e.g. a random access channel. The  
25 corresponding communication system includes a first protocol, e.g. RLC, with timers determining the processing of data by the first protocol. Other protocols, e.g. MAC, add delays before protocol data units generated by the first protocol are transmitted on a physical channel in the communication system. The start of timers in the first protocol is synchronized with the event that the data is transmitted on the physical channel.  
30

Said first part improves the interlayer communication, for example between the physical layer, MAC and RLC in a user equipment. It enables higher layers to consider delays caused by lower layers, e.g. allows to MAC and RLC to react to changes in the random access delay. The indication to start timers is performed

- 5 upon successful channel access which avoids variations and inaccuracies caused by the delays, e.g. in a random access procedure.

In a second part, a rescheduling of data ready for transmission on a channel is performed when additional data becomes available. The corresponding

- 10 communication system includes a protocol, e.g. MAC, that performs the scheduling of data units for transmission. If transmissions on the channel are delayed, e.g. due to access attempt delays or back-off times, rescheduling of additionally received data is performed by said protocol before previously received data is transmitted. The second part enables the protocol to perform 15 rescheduling especially during a random access control procedure when the traffic situation has changed.

Both parts are illustrated in the schematic block diagram of figure 5 which comprises components of a typical random access procedure for a user 20 equipment in the protocol controlling the transmission, e.g. in the MAC sub-layer of the data link layer. Random access procedures in a mobile communication system typically consist of several components, all contributing to the total delay that packets from higher layer experience during the procedure. Details of the access procedure can vary depending on the communication system 25 considered.

After data packets are forwarded from a higher layer in the protocol stack in step 60 for transmission, an initial back-off delay 64 spreads random access attempts in time to minimize the collision probability during the channel access 30 phase when more than one user equipment uses the same random access channel. The length of initial back-off delay 64 is variable following a statistical

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distribution, e.g. due to a loop 44 as described above, and parameters influencing the length of the delay can be varying in time. The subsequent access attempt delay 65 corresponds to the time required to access the random access channel. For instance in a communication system applying Carrier

- 5 Sense Multiple Access (CSMA), access attempt delay 65 preferably corresponds to the carrier sense phase, in which the channel is sensed for ongoing transmissions from other parties. In a communication system using power ramping, access attempt delay 65 preferably corresponds to the power ramping phase. The power ramping adapts the transmission power of user equipment to the present channel conditions and consists of subsequent preamble transmission attempts with increasing power. When a sufficient power level is reached, i.e. when the preamble is successfully received by a radio base station, the network acknowledges the preamble. The reception of the acknowledgement ends the access attempt delay 65.

- 15 When an access attempt during the access attempt delay 65 is successful as detected in check 70, the transmission 74 of the data on a physical channel is performed. If the result of check 70 is that a channel access attempt has failed, the protocol falls back into a subsequent back-off delay 66, 68. In figure 5, the protocol distinguishes different reasons for a failed attempt in further check 71 and the duration of the subsequent back-off delay 66, 68 depends on the reason for the unsuccessful channel access. When the user equipment does not receive an acknowledgement from the network confirming a successful channel access, the subsequent access is delayed by a certain amount of time 20 as indicated by "Subsequent Backoff Delay 1" 66. If a negative acknowledgement as an indication of congestion is returned from the network, the user equipment uses a longer "Subsequent Backoff Delay 2" 68 to ease the load on the channel. Additional reasons corresponding to further subsequent back-off branches are possible.
- 25

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When timers in a higher layer of the communication system are started with forwarding step 60, the starting of the timers may differ significantly from the time of the physical transmission 74 due the variable and often unpredictable lengths of delays 64 - 66, 68. Therefore, it is proposed that the depicted

5 protocol layer informs a higher protocol layer close to the time of the physical transmission 74 in step 72, e.g. by a primitive, when the data is forwarded to the physical layer after check 70 indicated a successful access attempt. In this way it is ensured that the timers are started at the time of transmission. If a processing time is necessary for the data packets between check 70 and

10 transmission 74, the length of the processing time is constant and can therefore be considered in setting timers and it is generally small compared to the delays 64, 65.

In one embodiment, the proposed rescheduling 69a is performed after the initial back-off delay 64. The user equipment is not in control of the duration of the access attempt delay 65 which is determined as described above by transmission conditions or behavior of other users of the communication system and therefore generally unpredictable. Implementation reasons can require to perform the rescheduling a period of time before transmission 74. For example,

20 for a communication system according to 3GPP specifications, transmission 74 is specified to start within a limited period after an acknowledgement by the network indicates a successful access attempt. This period is not always sufficient for data packet processing. Therefore, a suitable time for the rescheduling 69a is before access attempt delay 65 to provide sufficient time for

25 the user equipment for packet processing like for example channel coding, interleaving or cyclic redundancy check calculation.

However, a rescheduling at any time during the channel access delay, i.e. between step 60 and transmission 74, can improve the performance of the

30 communication system. Examples are rescheduling 69b, 69c which may be performed alternatively or in addition to rescheduling 69a. It is possible to

reschedule once or more than once and at any time during the channel access delay. It is preferable to perform a rescheduling as close as possible before transmission 74. For a sufficiently high processing speed of the data packets, the preferred time of the rescheduling coincides with step 72 immediately before

5 transmission 74.

It is possible, that data which is to be transmitted on a physical channel is grouped into different access classes. Access classes can correspond for example to data of users with different subscriptions corresponding to different 10 quality-of-service classes to which a user is entitled. In this case, parameters which determine the duration of a delay 64 - 66, 68 can differ between the access classes. An improvement of the performance can be achieved by allowing a rescheduling between data of different access classes. In other situations it may be advantageous to allow a rescheduling only within a current 15 access class or between a subset of access classes. Different rules for a rescheduling can apply at different times during the channel access delay. Especially, it is possible that a change of the access class is not allowed for a rescheduling at step 72 while it is possible for a rescheduling 69a - 69c.

20

In a random access transmission sequence according to the proposed methods, two new primitives are introduced which are denoted MAC-Re-Schedule primitive 100, 104 and MAC-Data-CNF primitive 108. Primitives 100, 104 MAC-Re-Schedule are used by MAC to request additional data from the 25 RLC protocol. The primitive can for example be sent after a rescheduling has been performed or in order to trigger a rescheduling. The primitive 108 MAC-Data-CNF is used by MAC to inform RLC that the random access control procedure is finished and the transmission of a set of packets has started. RLC uses the reception of primitive 108 as trigger to start timers.

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Figure 6 depicts how the primitives 100, 104, 108 can be used to improve the random access procedure in a WCDMA system. After a transmission between the user equipment and a base station is initiated and lower protocol layers are configured, the primitive 82 "MAC-Data-Req" indicates to the MAC protocol that one or more RLC protocol data units are ready for transmission. After subsequent initial back-off time 84, additional data with higher priority may be available on RLC. In a preferred embodiment, MAC has access to information on the buffer situation of RLC, for instance by a shared memory area. Based on this information, MAC makes a rescheduling decision in which both the data packets originally scheduled for transmission and the additional data are considered. MAC then informs RLC with the primitive 100 that it has made the rescheduling and that RLC shall prepare the data for transmission according to the rescheduling. The preparation consists of e.g. setting fields in the header of the RLC protocol data unit. When the RLC protocol data units are ready, RLC replies to primitive 100 with the data for transmission according to the rescheduling in primitive 102 "MAC-Data-Req". Persistence value constants  $P_i$  assigned to data can be changed after the rescheduling.

A corresponding dialogue with a further rescheduling can be performed after any subsequent back-off time 86 with exchange of a further MAC-reschedule primitive 104 and a further MAC-Data-REQ primitive 106. It should be noted that the subsequent back-off time 86 can comprise different components, e.g. both an initial back-off delay 64 and a subsequent back-off delay 66, 68 as described with respect to figure 5. When the MAC protocol layer receives the primitive 89 PHY-Access-CNF indicating the start of the actual transmission, MAC uses the MAC-Data-CNF primitive 108 to inform RLC to start timers. In this way, erroneous timer settings due to the variable back-off times 84, 86 and access delays 85, 87 can be avoided because the offset between the primitive 89 PHY-Access-CNF and the transmission of the data on the physical channel with the message 90 RACH data is constant and predefined.

It should be noted that a rescheduling is possible at any time between primitive 82 MAC-Data-Req and primitive 109 PHY-Data-Req, e.g. initiated by a communication procedure with primitives 100, 102. If the device has sufficient processing speed to perform the data handling in time for the sending of the

- 5 message 90 RACH data which must, according to 3GPP specifications, be performed in a specified interval after reception of the acknowledgement 91 of a channel access, it is preferable to perform the scheduling close to sending the primitive 109 PHY-Data-Req. For example, primitives 100, 108 could be sent almost simultaneously in this case.

10 Several alternative embodiments of the invention are possible. Especially, the rescheduling can be performed by the RLC protocol. In this case, the primitives 100, 104 request the rescheduling by the RLC protocol and rescheduled data is transmitted with primitives 102, 106. Different alternative options are applicable

- 15 for this case:

- The RLC protocol can send additional data only if the priority is higher than that of the previous data. In this case, the MAC protocol queues the previous data and forwards the additional data with higher priority. Alternatively, the MAC protocol discards the previous data and the RLC protocol marks the previous data as not sent in the RLC transmission buffer.
- The RLC protocol can send to the MAC protocol both the additional data and the original data with the rescheduled priorities. Then the original data packets stored by the MAC protocol are deleted.

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Figure 7 shows an improved RACH transmission control procedure for an alternative embodiment compared to the method depicted in figure 6. The MAC rescheduling and triggering of RLC timers is amended compared to figure 4 while the other elements in figure 7 correspond to those in figure 4. After the initial back-off delay in loop 110, a check 112 is performed whether a MAC rescheduling is necessary, e.g. a primitive is sent to RLC to request additional

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data which is ready for transmission and the reception of a reply with additional data in a primitive is waited for. If additional data packets are received, a rescheduling 114 of the data packets is performed. Additionally, new constants  $P_i$  may be assigned to the data packets in step 113 and optionally the

5 parameter M can be reset. The MAC-Re-Schedule primitive is sent in step 115.

At a defined time with respect to the initiation of the transmission of data packets on the physical layer by the primitive PHY-DATA-REQ 118, preferably simultaneously to or immediately before or after the sending of primitive 118, the MAC protocol sends a MAC-Data-CNF primitive 108 in step 116 to the RLC protocol. The order of the steps 113, 115 and rescheduling 114 in the previous 10 description can vary, e.g. depending on which protocol performs the scheduling. Furthermore, a rescheduling check 112 can be performed at any other time in figure 7 between the initial scheduling and the sending of the MAC-Data-CNF primitive in step 116.

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The primitives depicted in figures 6, 7 do not necessarily illustrate a single transfer of information but can also correspond to a communication procedure instead. A depicted primitive can represent an exchange of information between protocols in both directions. Information between protocols can also be

20 exchanged by access to a memory which is shared by two or more protocols. For example, any of the primitives 100, 104 MAC-Data-Req, primitives 102, 106 MAC-Re-Schedule or primitive 108 MAC-Data-CNF can include an exchange of information on RLC buffer levels, changes in RLC buffer levels or transport channel parameters. In this way, information can be exchanged on, e.g., the 25 number of data packets which can be transferred. Corresponding information exchange between the protocols is also possible at other times during the channel access delay, e.g. triggered by the arrival of additional data at the RLC protocol or changes of parameters of the physical channel.

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RLC timers are very sensitive to changes in round trip time and only with an exact value it is possible to configure RLC in an optimum way for RACH transmissions. The transmission in the reverse direction, i.e. in the down-link from base station to user equipment, is generally performed on a Forward

- 5 Access Channel (FACH). The proposed methods allow to set the RLC timers according to the actual transmission time. The RLC round trip time then depends only on the variable Forward Access Channel scheduling delay in the down-link. In the state of the art it additionally depends on the variable RACH access delay in the up-link. Therefore, one of two variable contributions to the 10 round trip time is removed and the estimation of the round trip time improved.

- By checking before the channel access whether additional data is ready for transmission, rescheduling of data according to priorities at the moment of transmission is possible. This allows to give priority to important RLC packet 15 data units close to the actual transmission time and avoid situations in which important traffic is delayed by less important traffic.

The proposed methods can be used both in a communication system with Frequency Division Duplex (FDD) and with Time Division Duplex (TDD).

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- The above embodiments admirably achieve the objects of the invention. However, it will be appreciated that departures can be made by those skilled in the art without departing from the scope of the invention which is limited only by 25 the claims.

### Abstract

In a method for improving the transmission efficiency in a communication system with a layered protocol stack, data packets are processed on an upper protocol layer. Data packets are forwarded to a lower protocol layer for transmission and the transmission is performed with variable channel access delays. The upper protocol layer is notified by the lower protocol layer when a transmission is started to allow a synchronization of timers in the upper protocol layer. If a layer performs a scheduling of data packets for the transmission, a rescheduling is performed alternatively or in addition during a channel access delay. Devices and software programs embodying the invention are also described.

15

- Fig. 5 -

## 1

## Claims

1. Method for improving the transmission efficiency in a communication system with a layered protocol stack, wherein data packets are processed on an upper protocol layer and said processing is controlled according to at least one timer of the upper protocol layer, wherein the data packets are forwarded to a lower protocol layer for transmission, wherein said transmission is controlled by the lower protocol layer and the transmission is performed with variable channel access delays, the method comprising the steps of
  - detection of the start of a transmission by the lower protocol layer,
  - notification of the upper protocol layer by the lower protocol layer when a transmission is started,
  - synchronization of at least one timer of the upper protocol layer according to the notification.
2. Method according to claim 1, wherein the timer models a round trip time or a back-off time.
3. Method for improving the transmission efficiency in a communication system with a layered protocol stack, wherein data packets are processed on an upper protocol layer and are forwarded to a lower protocol layer controlling the transmission, wherein transmissions are performed with a channel access delay and wherein at least one of said layers performs a scheduling of data packets for the transmission,  
characterized in that
  - a scheduling of first data packets for transmission is performed,
  - a channel access delay is detected on the lower layer,
  - a check is performed whether additional data packets are ready for forwarding to the lower layer at or before the end of the channel access

## 2

delay,

- a further scheduling of the first and additional data packets is performed,
- the data packets are transmitted according to the further scheduling.

5 4. Method according to claim 3, wherein the scheduling is performed on the upper layer and a notification of the channel access delay by the lower layer initiates the further scheduling.

10 5. Method according to claim 3, wherein a scheduling is performed on the lower layer.

6. Method according to any preceding claim, wherein a notification is sent at the start of a transmission or at the end of a delay.

15 7. Method according to any preceding claim, wherein a total channel access delay comprises at least two separate components and a notification is sent between the components.

20 8. Method according to claim 7, wherein the channel access delay includes a component of arbitrary length and a notification and/or a scheduling is performed before the arbitrary delay component.

9. Method according to any preceding claim, wherein a scheduling process is finished immediately before the scheduled data packets are transmitted.

25 10. Method according to any preceding claim, wherein a notification is a primitive.

30 11. Method according to any preceding claim, wherein the lower protocol layer is a medium access control sub-layer of a data link layer.

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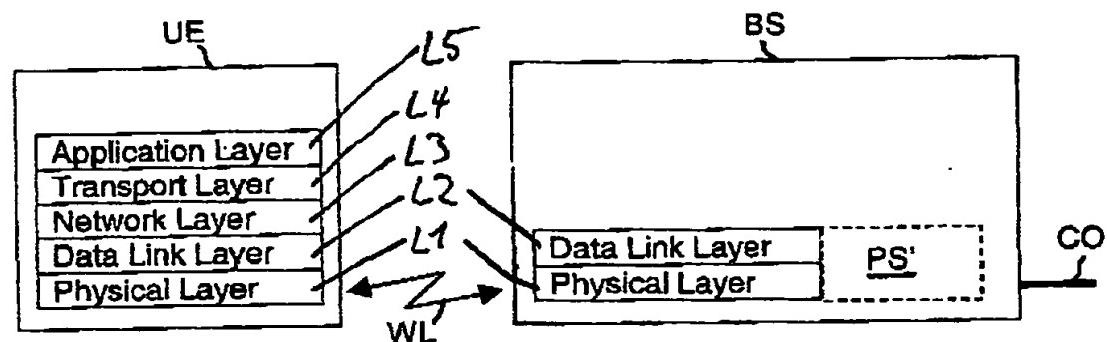
12. Method according to any preceding claim, wherein the upper protocol layer  
is a radio link control sub-layer of a data link layer.

13. Method according to any preceding claim, wherein the transmission is  
5 performed on a channel which can be shared by several users and/or data  
flows.

14. Device in a communication system, characterized in that the device  
performs a method according to any of the claims 1 to 13.

10 15. Device according to claim 14, wherein the device is a user equipment or a  
network node.

15 16. Program unit on a data carrier or loadable into a device in a communication  
system comprising code for performing the steps of a method according to  
any of the claims 1 to 13.



**Fig. 1**

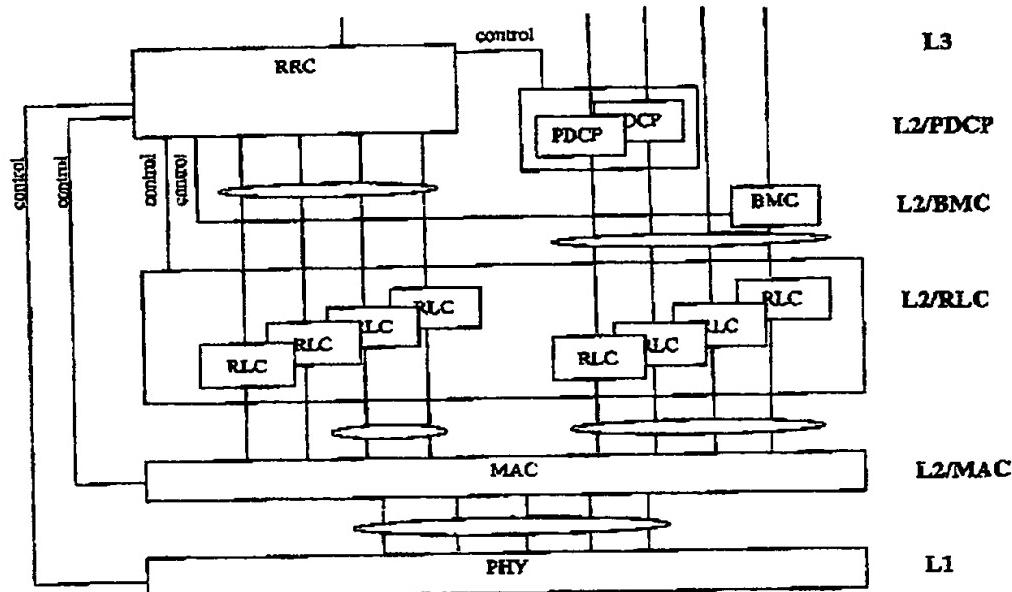


Fig. 2

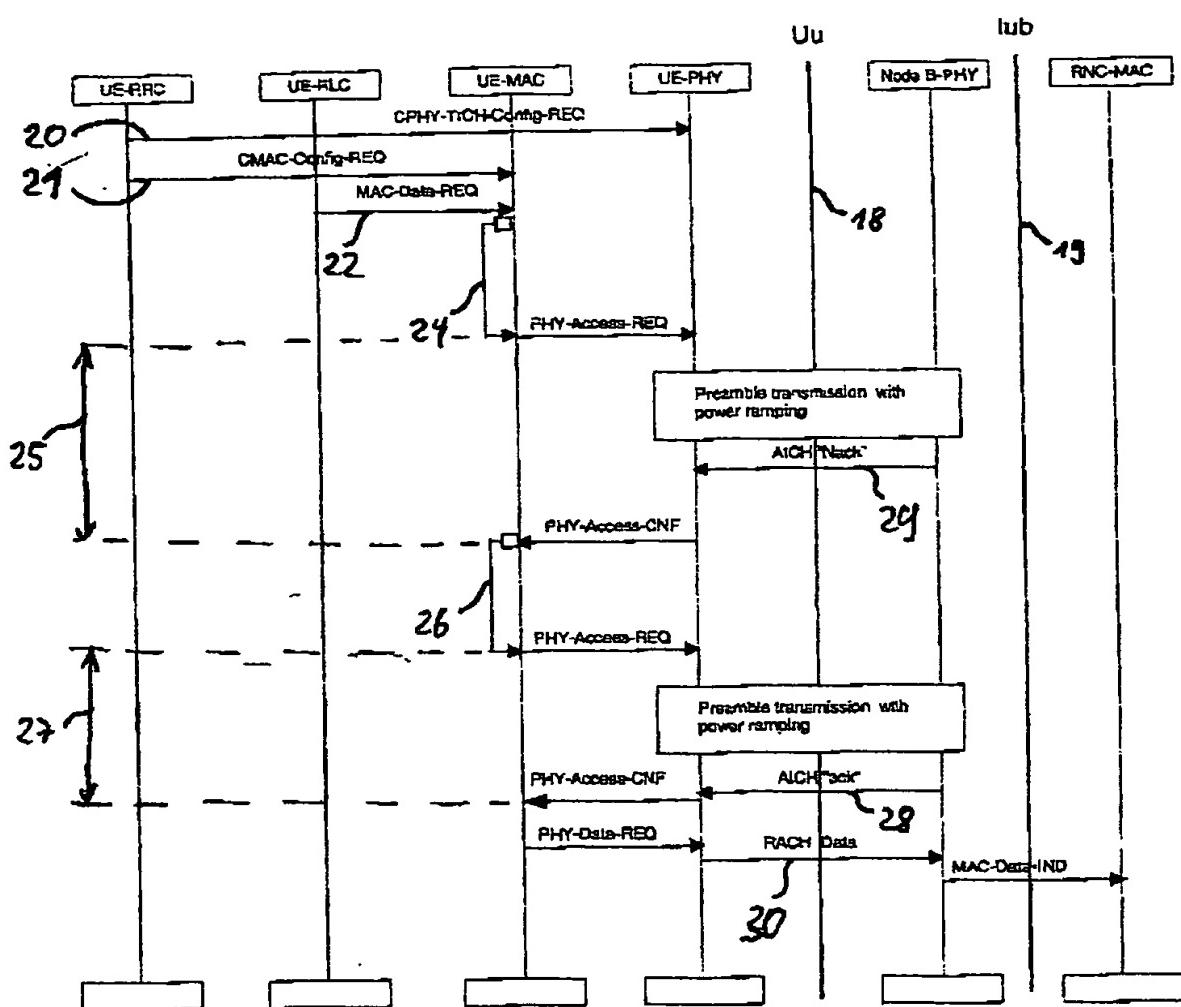


Fig. 3

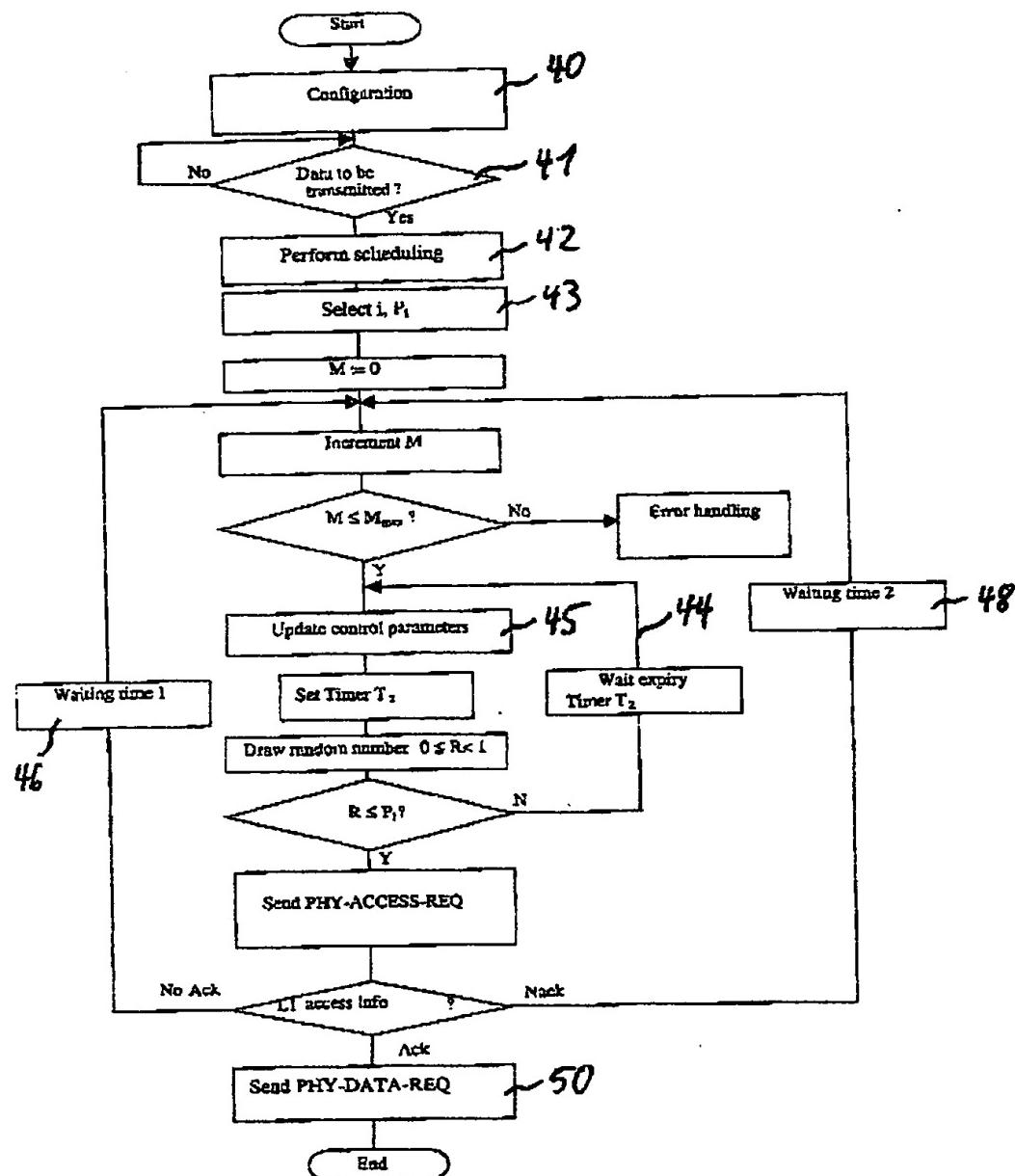


Fig. 4

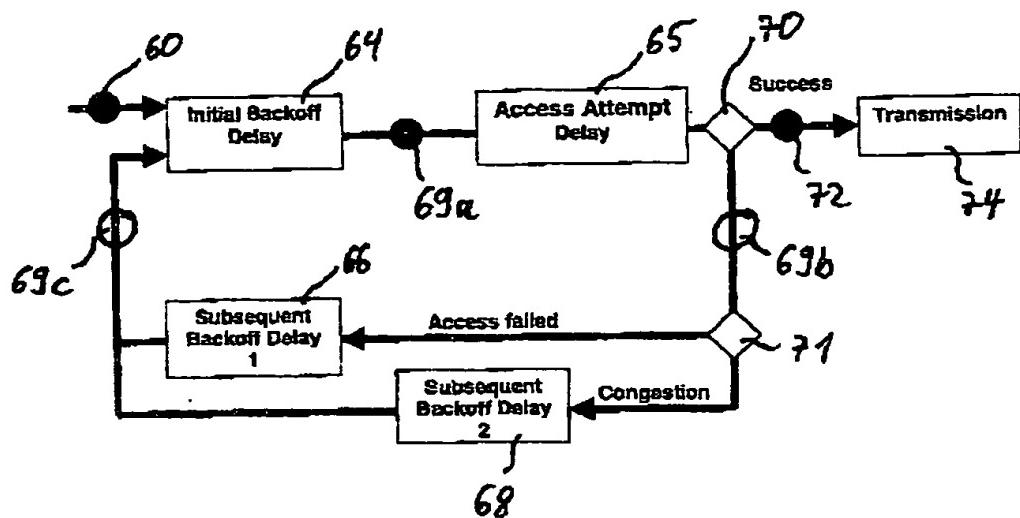


Fig. 5

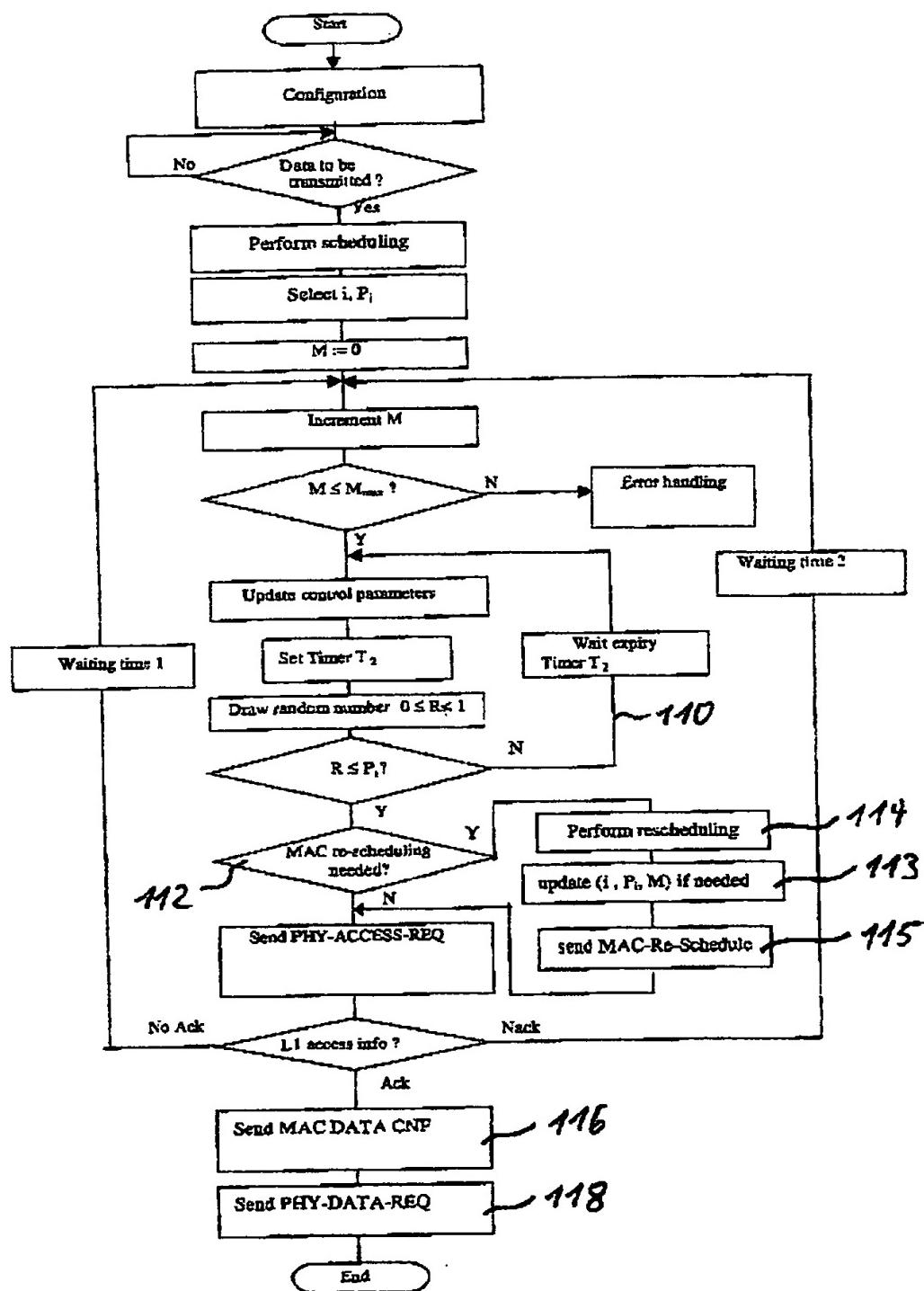


Fig. 7

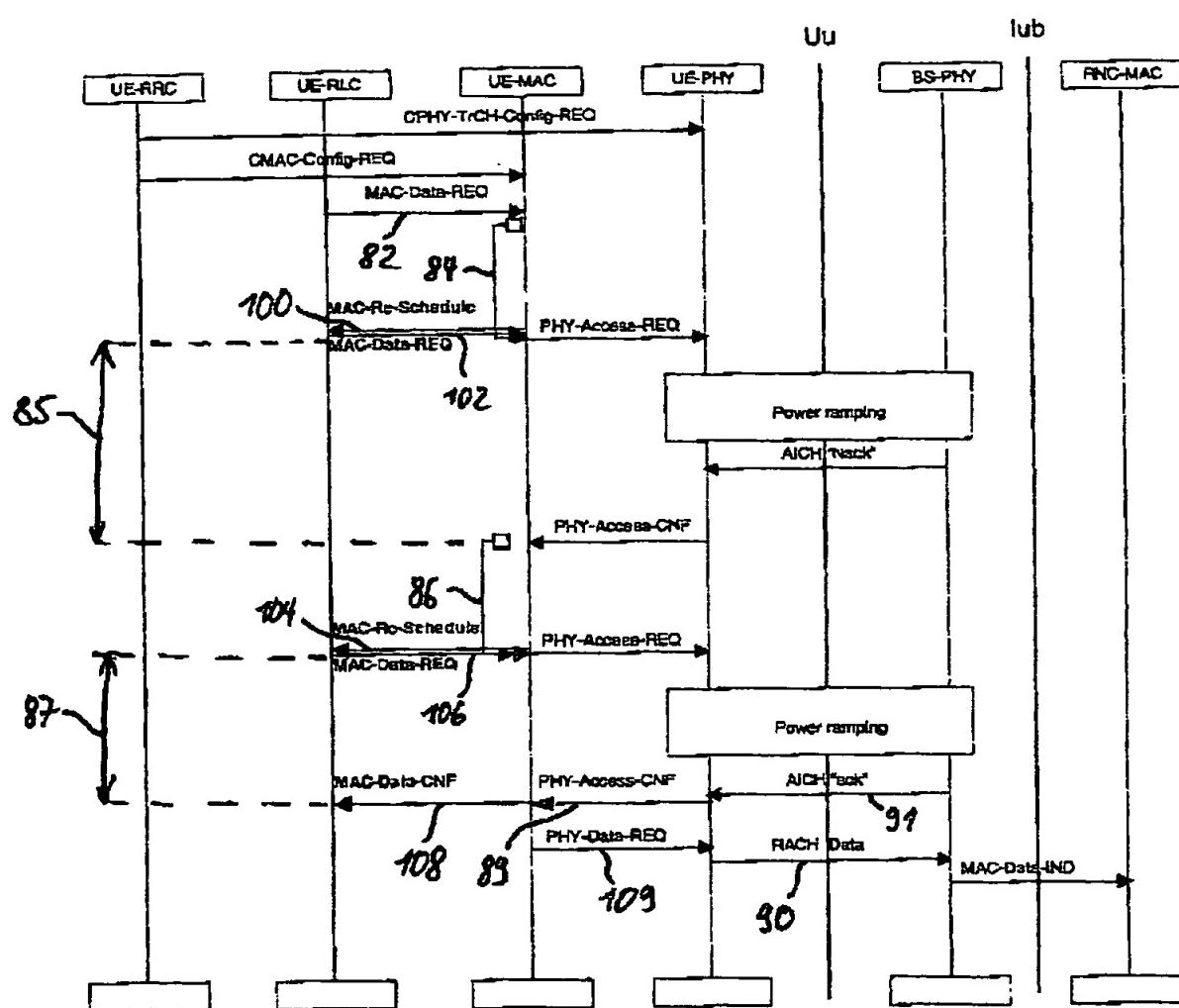


Fig. 6